

EXECUTIVE COUNCIL OF IOWA

AGENDA

SEPTEMBER 10, 2012

1. Introduction of Attendees
2. Approval of minutes of meeting held September 4, 2012
3. Personal Appearance –
 - A. Ed Holland, Department of Administrative Services, HRE will be present to request approval of Annual Group Insurance Renewals.
TAB # 1
4. Outside Counsel – Page 1
TAB # 2
5. Payment of Cost Items – Pages 1 - 3
6. Renewal Memberships – Pages 3 - 4
TAB #'s 3, 4, 5, 6 and 7

4. **Outside Counsel**

- A. The Department of Justice, Attorney General's office requests retention of outside counsel pursuant to Iowa Code section 13.3(1) in Kenneth Kincaid v. Iowa Department of Transportation, State of Iowa and the Second Injury Fund, a worker's compensation case filed by a state employee.

Firm: Patterson Law Firm, L.L.P.
Attorney: Ryan Clark
505 5th Avenue, Suite 729
Des Moines, IA 50309-2390
Rate: \$80.00 per hour
TAB # 2

5. **Payment of Cost Items**

- A. McDowell, Rice, Smith & Buchanan.....\$47,667.23
605 West 47th Street, Suite 350
Kansas City, MO 64112
Tobacco Settlement Authority

Julie Pottorff, Deputy Attorney General, has reviewed this invoice and recommends payment. Payment will be made from the funds of the Tobacco Settlement Authority.

- B. Wandro & Associates, P.C.\$1,725.00
2501 Grand Avenue, Suite B
Des Moines, IA 50312
Unclaimed Property Probate Matters

Julie Pottorff, Deputy Attorney General, has reviewed this invoice and recommends payment. Payment will be made from the funds of the Unclaimed Property Fund.

- C. Dorsey & Whitney, LLP\$11,184.04
P.O. Box 1680
Minneapolis MN 55480-1680
Community Development Div. of the Department of Economic Development

Julie Pottorff, Deputy Attorney General, has reviewed this invoice and recommends payment. Payment will be made from the funds of the Iowa Economic Development Authority.

- D. Davis, Brown, Koehn, Shors & Roberts, P.C.....\$502.00
The Davis Brown Tower
215 10th Street, Suite 1300
Des Moines, IA 50309-3993
Legal Services to state agencies on issues related to the visa status of
prospective state employees

Julie Pottorff, Deputy Attorney General, has reviewed this invoice and
recommends payment. Payment will be made from the funds of the Iowa
Economic Development Authority.

- E. Belin McCormick, P.C.\$215.40
666 Walnut, Suite 2000
Des Moines, IA 50309-3999
Harper Brush Works, Inc. Fairfield IA Bankruptcy

Julie Pottorff, Deputy Attorney General, has reviewed this invoices and
recommends payment. Payment will be made by the Economic Development
Authority.

- F. Shuttleworth and Ingersoll, P.L.C..... \$1,989.20
115 3rd Street South East
Suite 500
Cedar Rapids, IA 52401
Mills v. Iowa Board of Regents et al., an employment termination case

Julie Pottorff, Deputy Attorney General, has reviewed this invoice and
recommends payment. Payment will be made both from the General Fund
(\$80.00 per hour) and by the Board of Regents (\$95.00 per hour plus
expenses).

- G. Patterson Law Firm L.L.P..... \$152.00
729 Insurance Exchange Building
505 Fifth Avenue
Des Moines, IA 50309-2390
Mary Kimball v. Woodward State Hospital School, State of Iowa and the
Second Injury Fund

- H. Patterson Law Firm L.L.P..... \$42.00
729 Insurance Exchange Building
505 Fifth Avenue
Des Moines, IA 50309-2390
Debbie Miller v. State of Iowa and Second Injury Fund

- I. Patterson Law Firm L.L.P..... \$182.50
729 Insurance Exchange Building
505 Fifth Avenue
Des Moines, IA 50309-2390
Dirk Marple v. University of Iowa Hospitals and Clinics, State of Iowa and
Second Injury Fund.

- J. Patterson Law Firm L.L.P..... \$71.50
729 Insurance Exchange Building
505 Fifth Avenue
Des Moines, IA 50309-2390
Angel Tew v. Department of Revenue, State of Iowa and the Second Injury
Fund

- K. Patterson Law Firm L.L.P..... \$445.00
729 Insurance Exchange Building
505 Fifth Avenue
Des Moines, IA 50309-2390
Pam O'Brien v. Iowa Attorney General, State of Iowa and the Second Injury
Fund

Julie Pottorff, Deputy Attorney General, has reviewed these invoices and recommends payments.

6. **Renewal Memberships**

- A. Agriculture in Upper Mississippi River Basin Association in the amount of \$9,000.00 for July 1, 2012 - June 30, 2013. (Previous amount was \$6,000.00.) Other agencies: Yes: DNR, DOT, DED Funding Source: State General Fund
TAB # 3

- B. Campaign Finance Disclosure in Council of Governmental Ethics Laws in the amount of \$670.00 for July 1, 2012 - December 31, 2013. Other agencies: No: Funding Source: State General Fund

- C. Commerce - Alcoh Bev in Petroleum Marketers & Convenience Stores of Iowa in the amount of \$229.00 for March 1, 2013 - June 30, 2013. (Previous amount was \$688.00.) Other agencies: Yes: Lottery Funding Source: State General Fund

- D. Human Services in Interstate Commission for Juveniles in the amount of \$17,000.00 for July 1, 2012 - June 30, 2013. (Previous amount was \$17,000.00.) Other agencies: No: Funding Source: State General Fund
TAB # 4

- E. Human Services in NASDDDS (National Association of State Directors of DDS) in the amount of \$12,216.00 for July 1, 2012 - June 30, 2013. (Previous amount was \$ 9,621.00.) Other agencies: No: Funding Source: State General Fund

TAB # 5

- F. Human Services in National Association of Medicaid Directors - NAMD in the amount of \$7,350.00 for July 2012 - June 2013. (Previous amount was \$7,350.00.) Other agencies: No: Funding Source: State General Fund

TAB # 6

- G. Human Services in National Association of State Mental Health Program Directors in the amount of \$10,840.00 for July 1, 2012 - June 30, 2013. (Previous amount was \$10,840.00.) Other agencies: No: Funding Source: State General Fund

TAB # 7

- H. Iowa Workforce Development in Siouxland Chamber of Commerce in the amount of \$260.00 for July 1, 2012 - June 30, 2013. (Previous amount was \$260.00.) Other agencies: Yes: Vocational Rehabilitation Funding Source: Other Funds: Veterans Incentive Award Funds
- I. Public Defense in NFPA Membership Services in the amount of \$165.00 for October 1, 2012 - September 30, 2013. (Previous amount was \$150.00.) Other agencies: No: Funding Source: Federal Funds



2012 SEP -5 AM 8:12

TAB # 1

September 10, 2012

MEMORANDUM

TO: The Honorable Terry E. Branstad, Governor
The Honorable Matt Schultz, Secretary of State
The Honorable David A. Vaudt, Auditor of State
The Honorable Michael L. Fitzgerald, Treasurer of State
The Honorable William H. Northey, Secretary of Agriculture & Land Stewardship

FR: Ed Holland, Division Administrator
Department of Administrative Services - HRE

RE: Annual Group Insurance Renewal

Enclosed please find a summary of the 2013 renewal report for the State employees' group insurance program. The insurance changes will become effective January 1, 2013. The group insurance renewal for this year is excellent. The Council should note that the health premiums will be going down approximately 6.5%, the dental rates will be increasing only 4.6%, and the long term disability rates will not be changing from last year. The term life premiums are increasing significantly (28%) but these rates had been held constant for the last 5 years.

The renewal document reflects that:

- After this year's Request for Proposal, the Wellmark Indemnity and Preferred Provider Organization (PPO) premiums are decreasing approximately 6.3%.
- After this year's Request for Proposal, the Wellmark Managed Care Organizations (MCO) premiums are decreasing approximately 6.5%. Both MCO options will be at no cost to employees. The number of covered counties remains at 96 for the current plan year.
- The United Electrical/Iowa United Professional (UE/IUP) group has a different indemnity plan than the American Federation of State, County, and Municipal (AFSCME), AFSCME Judicial, and Public Professional and Maintenance (PPME) groups.
- The AFSCME, AFSCME Judicial and PPME state share will continue to be an 85/15% split of the family premium cost of Iowa Select. The state share of Iowa Select will be applied to all other family plans for these employees. The State will continue to pay 100% of single and double spouse contracts.

- The UE/IUP state share will also continue to be an 85/15% split of the family premium cost of Iowa Select. The state share of Iowa Select will be applied to all other family plans for UE/IUP employees. The State will continue to pay 100% of single and double spouse contracts.
- After this year's renewal, the Delta Dental premiums are increasing approximately 4.6%.
- Basic Term Life insurance rates are increasing 28% this year. We had negotiated a multi-year fixed rate for the first 5 years. Claims experience over that period of time required an increase that is provided in this renewal. Deloitte did review this increase and while it is significant it also appears to be justified.
- Long Term Disability insurance rates are the same as last year as we have negotiated a multi-year fixed rate.
- Life fund surpluses are used to buy down plan year 2013 basic term life rates only. Supplemental rates will not be bought down as the reserves have been exhausted.
- The Insurance Committee approved DAS bringing all insurance rates to the Executive Council on August 30, 2012.

Summary:

Through the actions of DAS-HRE and its consultant we are able to present the committee with a Wellmark premium decrease; a 4.6 percent increase for dental insurance, and LTD rates that have not increased over the last year. The life rates are increasing but this increase is only estimated to be a \$207,774 increase over the previous year.

DAS-HRE is asking the Executive Council to do the following:

- Approve the employee health and dental premium rates.
- Approve non-contract employees continue to have access to Deductible 3 Plus, Iowa Select and the MCO options available and the contribution dollar amount applied to all non-contract family plans will be the same as AFSCME.
- Approve the Term Life and LTD premium rates.
- Approve the Basic Term Life premium rates with the use of surplus to "buy down" life premium rates.

Note: Deloitte Consulting, LLP. comments supporting the renewals are included where appropriate.

2013 Group Insurance Renewal

Department of Administrative Services

Human Resources Enterprise



2013 Group Insurance Renewal

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Wellmark Indemnity and Preferred Provider Organization Premium Rates

Wellmark		2012			Percent Inc/(Dec)	2013		
		Total Premium	State Share	Employee Share		Total Premium	State Share	Employee Share
Program	(S)	\$756.45	\$756.45	\$0.00	(6.2%)	\$709.31	\$709.31	\$0.00
3 Plus	(F)	\$1,770.13	\$1,499.93	\$270.20	(6.3%)	\$1,658.45	\$1,405.21	\$253.24
Deductible	(S)	\$760.48	\$760.48	\$0.00	(6.2%)	\$713.09	\$713.09	\$0.00
3 Plus	(F)	\$1,779.59	\$1,499.93	\$279.66	(6.3%)	\$1,667.32	\$1405.20	\$262.12
Iowa	(S)	\$754.11	\$754.11	\$0.00	(6.2%)	\$707.05	\$707.05	\$0.00
Select	(F)	\$1,764.61	\$1,499.93	\$264.68	(6.3%)	\$1,653.18	\$1,405.20	\$247.98

Wellmark Managed Care Organization Premium Rates

Wellmark		2012			Percent Inc/(Dec)	2013		
		Total Premium	State Share	Employee Share		Total Premium	State Share	Employee Share
Blue Access	(S)	\$471.85	\$471.85	\$0.00	(6.6%)	\$440.96	\$440.96	\$0.00
	(F)	\$1,104.11	\$1,104.11	\$0.00	(6.7%)	\$1,030.49	\$1,030.49	\$0.00
Blue Advantage	(S)	\$454.32	\$454.32	\$0.00	(6.6%)	\$424.43	\$424.43	\$0.00
	(F)	\$1,063.14	\$1,063.14	\$0.00	(6.7%)	\$991.86	\$991.86	\$0.00

Wellmark Indemnity and Preferred Provider Organization Premium Rates (Voluntary Contribution)

Wellmark		2012			Percent Inc/(Dec)	2013		
		Total Premium	State Share	Employee Share		Total Premium	State Share	Employee Share
Program	(S)	\$756.45	\$756.45	\$0.00	(4.8%)	\$719.93	\$575.95	\$143.98
3 Plus	(F)	\$1,770.13	\$1,499.93	\$270.20	(4.9%)	\$1,683.32	\$1,346.66	\$336.66
Deductible	(S)	\$760.48	\$760.48	\$0.00	(4.8%)	\$724.26	\$579.42	\$144.84
3 Plus	(F)	\$1,779.59	\$1,499.93	\$279.66	(4.8%)	\$1,693.47	\$1,354.79	\$338.68
Iowa	(S)	\$754.11	\$754.11	\$0.00	(4.8%)	\$717.72	\$574.18	\$143.54
Select	(F)	\$1,764.61	\$1,499.93	\$264.68	(4.9%)	\$1,678.13	\$1,342.51	\$335.62

Wellmark Managed Care Organization Premium Rates (Voluntary Contribution)

Wellmark		2012			Percent Inc/(Dec)	2013		
		Total Premium	State Share	Employee Share		Total Premium	State Share	Employee Share
Blue Access	(S)	\$471.85	\$471.85	\$0.00	(5.0%)	\$448.44	\$358.76	\$89.68
	(F)	\$1,104.11	\$1,104.11	\$0.00	(5.1%)	\$1,047.98	\$838.38	\$209.60
Blue Advantage	(S)	\$454.32	\$454.32	\$0.00	(5.0%)	\$431.45	\$345.17	\$86.28
	(F)	\$1,063.14	\$1,063.14	\$0.00	(5.2%)	\$1,008.28	\$806.62	\$201.66

2013 Managed Care Service Areas (Map)*



*Shaded counties are covered by the managed care carrier network

Actual Dental Rates

***American Federation of State County and Municipal Employees (AFSCME),
Non-Contract, AFSCME Judicial Employees &
Public Professional and Maintenance Employees (PPME)***

ACTUAL DENTAL INSURANCE RATES							
Delta Dental	2012			Percent Inc/(Dec)	2013		
	Total Premium	State Share	Employee Share		Total Premium	State Share	Employee Share
(S)	\$27.83	\$27.83	\$0.00	4.7%	\$29.13	\$29.13	\$0.00
(F)	\$74.83	\$37.43	\$37.40	4.6%	\$78.29	\$39.15	\$39.14

United Electrical/Iowa United Professionals (UE/IUP)

ACTUAL DENTAL INSURANCE RATES							
Delta Dental	2012			Percent Inc/(Dec)	2013		
	Total Premium	State Share	Employee Share		Total Premium	State Share	Employee Share
(S)	\$27.83	\$27.83	\$0.00	4.7%	\$29.13	\$29.13	\$0.00
(F)	\$74.83	\$27.83	\$47.00	4.6%	\$78.29	\$29.13	\$49.16

Note: The only difference between tables is the collectively bargained State and Employee shares.

Estimated Actual Insurance Funding

Annual Totals for Active Employees

Increase/Decrease in State contribution to total group insurance cost = 6.3% Decrease
 Increase/Decrease in Employee contribution to total group insurance cost = 3.3% Decrease
 Increase/Decrease in Total Premium cost = 6.1% Decrease

	2012			2013		
	State Contribution	Employee Contribution	Total Premium	State Contribution	Employee Contribution	Total Premium
PPO/Indemnity*	\$126,859,522	\$10,888,585	\$137,748,107	\$118,585,099	\$10,210,624	\$128,795,723
MCOs*	\$202,913,405	\$0.00	\$202,913,405	\$188,777,849	\$148,685	\$188,926,534
Subtotal*	\$329,772,927	\$10,888,585	\$340,661,512	\$307,362,948	\$10,359,309	\$317,722,257
Dental*	\$10,883,356	\$7,330,480	\$18,213,836	\$11,329,266	\$7,722,460	\$19,051,726
Basic Life	\$839,342	\$0.00	\$839,342	\$1,047,116	\$0.00	\$1,047,116
Supp. Life**	\$0.00	\$2,250,754	\$2,250,754	\$0.00	\$2,517,088	\$2,517,088
Subtotal	\$839,342	\$2,250,754	\$3,090,096	\$1,047,116	\$2,517,088	\$3,564,204
LTD	\$4,065,708	\$0.00	\$4,065,708	\$4,097,512	\$0.00	\$4,097,512
GRAND TOTAL	\$345,561,333	\$21,309,161	\$366,870,494	\$323,836,842	\$20,598,857	\$344,435,699

*Does not include SPOC Health and Dental costs.

August 30, 2012

STATE OF IOWA

2013 Medical and Dental Plan Renewal Report

Background

Deloitte Consulting LLP (Deloitte) has been retained by the State of Iowa Department of Administrative Services (the Department) to review the appropriateness of the medical and dental plan renewals prepared by the State's current providers.

The State currently contracts with Wellmark. Blue Cross and Blue Shield of Iowa (Wellmark) under a minimum premium arrangement offering five medical plans. The State also contracts with Delta Dental under a minimum premium arrangement for its dental plan.

The contract with Wellmark will expire on December 31, 2012. Therefore, the Department was required to release a Request for Proposals (RFP) for these plans. The Department conducted an RFP for medical insurance services (RFP0012005016) and pharmacy benefit management (PBM) services (RFP0012005018).

We assisted the Department in the review and scoring the cost sections of the vendor proposals. We also reviewed the proposed dental renewal rates and supporting information to determine if the proposed fees and underwriting were reasonable. This report outlines our approach and observations from our review of the medical proposals and dental renewal.

Wellmark Minimum Premium Plans

The State of Iowa currently provides employee medical insurance through a minimum premium arrangement with Wellmark. This arrangement includes pharmacy benefit management services through Wellmark's contract with Catamaran (formerly Catalyst).

The Department requested proposals from medical insurance carriers to provide insurance and administration of the employee medical insurance plan, including a statewide network of providers. Medical vendors were required to provide proposals that both included and excluded pharmacy benefit management services. The Department also requested proposals from pharmacy benefit management organizations to administer the pharmacy benefit management portion of the employee medical insurance plan. Essentially, the Department conducted concurrent RFPs, one for a total package of medical services including pharmacy and the other for pharmacy benefit management services.

Medical Responses

Two vendors, Wellmark and UnitedHealthcare of Iowa (UHC) submitted proposals in response to the Medical RFP. Each vendor provided a proposal for the current minimum premium arrangement and a self-funded arrangement, both of which included options for included and excluding pharmacy benefit management services.

Deloitte reviewed the initial cost proposals as well as the best and final offers provided by each vendor and summarized a breakdown of the claims costs and expenses to compare the projected total costs under each proposed option. The table below summarizes the projected two-year 2013-2014 expenditures based on the vendor responses for medical services including pharmacy benefit management services:

2013-2014	Wellmark		United Healthcare	
	Minimum Premium	Self-funded	Minimum Premium	Self-funded
	W/ PBM	W/ PBM	W/ PBM	W/ PBM
Projected Claims	\$ 780,564,956	\$ 780,565,199	\$ 895,737,001	\$ 895,737,001
Admin Expenses	\$ 33,920,354	\$ 28,194,240	\$ 38,345,496	\$ 29,783,212
Grand Total Expenses	\$ 814,485,310	\$ 808,759,439	\$ 934,082,497	\$ 925,520,213
Total vs. Wellmark MPP w/PBM	0.0%	-0.7%	14.7%	13.6%

As shown in the above table, Wellmark's minimum premium proposal is 14.7% less costly than the UHC minimum premium proposal. The Wellmark self-funded proposal offers approximately \$3 million in annual administrative expense savings as a trade-off for the State's additional risk exposure if actual claims experience is higher than expected.

The differences between the Wellmark and UHC projected total expenses was primarily driven by Wellmark's lower medical trend assumptions and approximately 20% lower administrative expenses.

Wellmark's minimum premium proposal results in approximately \$20 million in savings over the current 2012 projected expenses (based on the 1/1/2012 Wellmark renewal). These savings are generated by favorable claims experience projected between the 2012 and 2013 projection periods.

Pharmacy Overview

The State received responses from six pharmacy benefit management vendors: CVS Caremark, Envision Pharmaceutical Services, Medco Health Solutions, Navitus Health Solutions, UHC, and Wellmark. Deloitte performed an evaluation using each of the vendor's responses to estimate pharmacy costs and administrative fees for the two-year period for calendar year 2013 and 2014. Our analysis concluded that the combined medical and pharmacy costs proposed by both Wellmark and UHC in the Medical proposals are financially more favorable to the State than carving out the pharmacy benefit management services.

Results

Due to the significant cost differences in the Medical proposal responses, it is our understanding the Department has elected to move forward with negotiations with Wellmark under a minimum premium funding arrangement.

Delta Dental Minimum Premium Plan

Delta Dental was required to complete a comprehensive data filing as part of the 2013 renewal process. Delta Dental was awarded a new contract beginning January 1, 2011.

Deloitte reviewed the following areas to evaluate the reasonableness of the rate renewal and conformity to the contract:

- Observed and projected trend assumptions
- Per member per month (PMPM) claim levels and costs
- Administration fees
- Other adjustment factors
- Overall rating methodology

The overall rating calculated a 4.6% increase which is reasonable based on recent claims experience.

Summary

To conclude, Deloitte has assisted the Department in the review and scoring of the Medical Benefits Program RFP responses and support the selection of Wellmark as the program vendor.

In addition, Deloitte has reviewed the 2013 State of Iowa dental renewal in detail and believe the 2013 proposed rates are reasonable and we recommend accepting the most recent proposal.

2013 Health & Dental Recommendations

DAS-HRE is asking the Insurance Committee to do the following:

- A. Approve the health and dental premium rates for Executive Council Review.
- B. Recommend that the Executive Council approve non-contract (non-judicial) employees continue to have access to Deductible 3 Plus, Iowa Select and the MCO options available and the contribution dollar amount applied to all non-contract family plans will be the same as AFSCME.

Actual Life & Long-Term Disability Premium Rates

*American Federation of State County and Municipal Employees (AFSCME),
Non-Contract, Judicial AFSCME,
& Public Professional and Maintenance employees (PPME)*

Basic Life Monthly Premium Rates			
	Plan Year 2012 (20,000)	Plan Year 2013 (20,000)	Percent Inc/(Dec)
Under 65 - \$20,000	\$3.20	\$4.10	28.1%
65 – 69 - \$13,200	\$2.11	\$2.71	28.4%
70 – 74 - \$8,300	\$1.33	\$1.70	27.8%
75 & Over - \$5,700	\$0.91	\$1.17	28.6%

*United Electrical/Iowa United Professionals (UE/IUP)
& State Police Officer's Council (SPOC)*

Basic Life Monthly Premium Rates			
	Plan Year 2012 (20,000)	Plan Year 2013 (20,000)	Percent Inc/(Dec)
Under 65 - \$20,000	\$3.20	\$4.10	28.1%
65 – 69 - \$13,200	\$2.11	\$2.71	28.4%
70 – 74 - \$8,300	\$1.33	\$1.70	27.8%
75 & Over - \$5,700	\$0.91	\$1.17	28.6%

Long-Term Disability (LTD) Premium Rates		
Plan Year 2012	Plan Year 2013	Percent Inc/(Dec)
\$0.370 per \$100 covered monthly compensation	\$0.370 per \$100 covered monthly compensation	0%
<p style="text-align: center;">Formula for monthly LTD cost =</p> <p style="text-align: center;"><u>Biweekly Salary (to annual maximum of \$60,000) X 26 X .00370</u></p> <p style="text-align: center;">12</p>		

Memo

Date: June 22, 2012

To: Ed Holland

From: Patrick Pechacek, Corey Carriker and Sara Kaufman

Subject: *State of Iowa Rate Increase Review 2012*

We reviewed the claim experience provided by Hartford life in support of the proposed rate increases for the State of Iowa. Based on the claim and premium data provided, we find the rate increase to be reasonable.

Over the last 3 years, the experience loss ratios for basic life coverage were in excess of 100% in each year for all years except the 2012 partial year. The average loss ratio for basic life was 143% and 110% for supplemental life. The associated rate increases requested are 32% and 9 % respectively. We note that the rate increase is less than the amount of the excess loss ratio, so the rate increase seems to be fully supported by the experience.

The loss ratios were calculated as (death claims + premium waiver reserve + change in reserve) / (premiums). The base death benefits paid for basic life coverage were 95% of premium which is by itself greater than the 90% target loss ratio. The addition of waiver benefits and the change in reserve increase the loss ratio further to 143%.

The premium waiver reserve was calculated as 50% of the face amount when a person becomes disabled. Hartford explained this was their standard assumption for any premium waivers. We tested this estimate against a 33% reserve assumption and found that the lower PW reserve would change the requested rate by only \$0.01 per thousand. The Premium Waiver reserve may be an area for future investigation, but given the small impact, we found the 50% reserve assumption to be supportable.

Other items that we considered in our analysis were the guarantee period of the rates and the potential changes in the demographics of the covered lives due to the economic environment (delayed retirement and possible layoffs of junior workers). The rate increase is consistent with aging the population by approximately 5 years since rates were last set.

Actual Life Insurance Premiums

Effective January 1, 2013

Basic Life Premiums (State Funded)

Age	Amount of Insurance Available	Monthly Premium
<65	\$ 20,000	\$4.10
65-69	\$ 13,200	\$2.71
70-74	\$ 8,300	\$1.70
75 & Over	\$ 5,700	\$1.17

Optional (Employee Funded) Supplemental Life Insurance Rates

\$5,000 Schedule I			\$10,000 Schedule II			\$15,000 Schedule III		
Age	Amount of Insurance Available	Monthly Premium	Age	Amount of Insurance Available	Monthly Premium	Age	Amount of Insurance Available	Monthly Premium
29 & Under	5,000	\$0.28	29 & Under	10,000	\$0.56	29 & Under	15,000	\$0.84
30-34	5,000	\$0.34	30-34	10,000	\$0.67	30-34	15,000	\$1.01
35-39	5,000	\$0.43	35-39	10,000	\$0.86	35-39	15,000	\$1.29
40-44	5,000	\$0.56	40-44	10,000	\$1.12	40-44	15,000	\$1.68
45-49	5,000	\$0.83	45-49	10,000	\$1.66	45-49	15,000	\$2.49
50-54	5,000	\$1.38	50-54	10,000	\$2.75	50-54	15,000	\$4.13
55-59	5,000	\$2.35	55-59	10,000	\$4.70	55-59	15,000	\$7.05
60-64	5,000	\$3.63	60-64	10,000	\$7.26	60-64	15,000	\$10.89
65-69	3,300	\$3.77	65-69	6,600	\$7.54	65-69	9,900	\$11.30
70-74	2,075	\$3.47	70-74	4,150	\$6.94	70-74	6,225	\$10.41
75-79	1,425	\$3.24	75-79	2,850	\$6.47	75-79	4,275	\$9.70
80 & Over	1,000	\$2.27	80 & Over	2,000	\$4.54	80 & Over	3,000	\$6.81

Note: SPOC-covered employees are eligible for \$20,000 of Basic Life Insurance and \$30,000 of Supplemental Insurance due to their bargaining agreement.
UE/IUP-covered employees are eligible for \$20,000 of Basic Life Insurance and \$40,000 of Supplemental Insurance due to their bargaining agreement. All other employees are eligible for \$20,000 of Basic Life Insurance and \$100,000 of Supplemental Insurance.

Actual Life Insurance Premiums (Cont'd)

\$20,000 Schedule IV

Age	Amount of Insurance Available	Monthly Premium
29 & Under	20,000	\$1.12
30-34	20,000	\$1.34
35-39	20,000	\$1.72
40-44	20,000	\$2.24
45-49	20,000	\$3.32
50-54	20,000	\$5.50
55-59	20,000	\$9.40
60-64	20,000	\$14.52
65-69	13,200	\$15.07
70-74	8,300	\$13.87
75-79	5,700	\$12.94
80 & Over	4,000	\$9.08

\$25,000 Schedule V

Age	Amount of Insurance Available	Monthly Premium
29 & Under	25,000	\$1.40
30-34	25,000	\$1.68
35-39	25,000	\$2.15
40-44	25,000	\$2.80
45-49	25,000	\$4.15
50-54	25,000	\$6.88
55-59	25,000	\$11.75
60-64	25,000	\$18.15
65-69	16,500	\$18.83
70-74	10,375	\$17.34
75-79	7,125	\$16.17
80 & Over	5,000	\$11.35

\$30,000 Schedule VI

Age	Amount of Insurance Available	Monthly Premium
29 & Under	30,000	\$1.68
30-34	30,000	\$2.01
35-39	30,000	\$2.58
40-44	30,000	\$3.36
45-49	30,000	\$4.98
50-54	30,000	\$8.25
55-59	30,000	\$14.10
60-64	30,000	\$21.78
65-69	19,800	\$22.60
70-74	12,450	\$20.81
75-79	8,550	\$19.40
80 & Over	6,000	\$13.62

\$35,000 Schedule VII

Age	Amount of Insurance Available	Monthly Premium
29 & Under	35,000	\$1.96
30-34	35,000	\$2.35
35-39	35,000	\$3.01
40-44	35,000	\$3.92
45-49	35,000	\$5.81
50-54	35,000	\$9.63
55-59	35,000	\$16.45
60-64	35,000	\$25.41
65-69	23,100	\$26.36
70-74	14,525	\$24.28
75-79	9,975	\$22.64
80 & Over	7,000	\$15.89

\$40,000 Schedule VIII

Age	Amount of Insurance Available	Monthly Premium
29 & Under	40,000	\$2.24
30-34	40,000	\$2.68
35-39	40,000	\$3.44
40-44	40,000	\$4.48
45-49	40,000	\$6.64
50-54	40,000	\$11.00
55-59	40,000	\$18.80
60-64	40,000	\$29.04
65-69	26,400	\$30.13
70-74	16,600	\$27.74
75-79	11,400	\$25.87
80 & Over	8,000	\$18.16

\$45,000 Schedule IX

Age	Amount of Insurance Available	Monthly Premium
29 & Under	45,000	\$2.52
30-34	45,000	\$3.02
35-39	45,000	\$3.87
40-44	45,000	\$5.04
45-49	45,000	\$7.47
50-54	45,000	\$12.38
55-59	45,000	\$21.15
60-64	45,000	\$32.67
65-69	29,700	\$33.89
70-74	18,675	\$31.21
75-79	12,825	\$29.10
80 & Over	9,000	\$20.43

Actual Life Insurance Premiums (Cont'd)

\$50,000 Schedule X			\$55,000 Schedule XI			\$60,000 Schedule XII		
Age	Amount of Insurance Available	Monthly Premium	Age	Amount of Insurance Available	Monthly Premium	Age	Amount of Insurance Available	Monthly Premium
29 & Under	50,000	\$2.80	29 & Under	55,000	\$3.08	29 & Under	60,000	\$3.36
30-34	50,000	\$3.35	30-34	55,000	\$3.69	30-34	60,000	\$4.02
35-39	50,000	\$4.30	35-39	55,000	\$4.73	35-39	60,000	\$5.16
40-44	50,000	\$5.60	40-44	55,000	\$6.16	40-44	60,000	\$6.72
45-49	50,000	\$8.30	45-49	55,000	\$9.13	45-49	60,000	\$9.96
50-54	50,000	\$13.75	50-54	55,000	\$15.13	50-54	60,000	\$16.50
55-59	50,000	\$23.50	55-59	55,000	\$25.85	55-59	60,000	\$28.20
60-64	50,000	\$36.30	60-64	55,000	\$39.93	60-64	60,000	\$43.56
65-69	33,000	\$37.66	65-69	36,300	\$41.42	65-69	39,600	\$45.19
70-74	20,750	\$34.68	70-74	22,825	\$38.15	70-74	24,900	\$41.61
75-79	14,250	\$32.34	75-79	15,675	\$35.57	75-79	17,100	\$38.80
80 & Over	10,000	\$22.69	80 & Over	11,000	\$24.96	80 & Over	12,000	\$27.23

\$65,000 Schedule XIII			\$70,000 Schedule XIV			\$75,000 Schedule XV		
Age	Amount of Insurance Available	Monthly Premium	Age	Amount of Insurance Available	Monthly Premium	Age	Amount of Insurance Available	Monthly Premium
29 & Under	65,000	\$3.64	29 & Under	70,000	\$3.92	29 & Under	75,000	\$4.20
30-34	65,000	\$4.36	30-34	70,000	\$4.69	30-34	75,000	\$5.03
35-39	65,000	\$5.59	35-39	70,000	\$6.02	35-39	75,000	\$6.45
40-44	65,000	\$7.28	40-44	70,000	\$7.84	40-44	75,000	\$8.40
45-49	65,000	\$10.79	45-49	70,000	\$11.62	45-49	75,000	\$12.45
50-54	65,000	\$17.88	50-54	70,000	\$19.25	50-54	75,000	\$20.63
55-59	65,000	\$30.55	55-59	70,000	\$32.90	55-59	75,000	\$35.25
60-64	65,000	\$47.19	60-64	70,000	\$50.82	60-64	75,000	\$54.45
65-69	42,900	\$48.95	65-69	46,200	\$52.72	65-69	49,500	\$56.48
70-74	26,975	\$45.08	70-74	29,050	\$48.55	70-74	31,125	\$52.01
75-79	18,525	\$42.04	75-79	19,950	\$45.27	75-79	21,375	\$48.50
80 & Over	13,000	\$29.50	80 & Over	14,000	\$31.77	80 & Over	15,000	\$34.04

Actual Life Insurance Premiums (Cont'd)

\$80,000 Schedule XVI			\$85,000 Schedule XVII			\$90,000 Schedule XVIII		
Amount of Insurance Available			Amount of Insurance Available			Amount of Insurance Available		
Age	Monthly Premium	Age	Monthly Premium	Age	Monthly Premium	Age	Monthly Premium	Age
29 & Under	\$4.48	29 & Under	\$4.76	29 & Under	\$5.04	29 & Under	\$5.04	29 & Under
30-34	\$5.36	30-34	\$5.70	30-34	\$6.03	30-34	\$6.03	30-34
35-39	\$6.88	35-39	\$7.31	35-39	\$7.74	35-39	\$7.74	35-39
40-44	\$8.96	40-44	\$9.52	40-44	\$10.08	40-44	\$10.08	40-44
45-49	\$13.28	45-49	\$14.11	45-49	\$14.94	45-49	\$14.94	45-49
50-54	\$22.00	50-54	\$23.38	50-54	\$24.75	50-54	\$24.75	50-54
55-59	\$37.60	55-59	\$39.95	55-59	\$42.30	55-59	\$42.30	55-59
60-64	\$58.08	60-64	\$61.71	60-64	\$65.34	60-64	\$65.34	60-64
65-69	\$60.25	65-69	\$64.02	65-69	\$67.78	65-69	\$67.78	65-69
70-74	\$55.48	70-74	\$58.95	70-74	\$62.42	70-74	\$62.42	70-74
75-79	\$51.74	75-79	\$54.97	75-79	\$58.20	75-79	\$58.20	75-79
80 & Over	\$36.31	80 & Over	\$38.58	80 & Over	\$40.85	80 & Over	\$40.85	80 & Over

\$95,000 Schedule XIX			\$100,000 Schedule XX		
Amount of Insurance Available			Amount of Insurance Available		
Age	Monthly Premium	Age	Monthly Premium	Age	Monthly Premium
29 & Under	\$5.32	29 & Under	\$5.60	29 & Under	\$5.60
30-34	\$6.37	30-34	\$6.70	30-34	\$6.70
35-39	\$8.17	35-39	\$8.60	35-39	\$8.60
40-44	\$10.64	40-44	\$11.20	40-44	\$11.20
45-49	\$15.77	45-49	\$16.60	45-49	\$16.60
50-54	\$26.13	50-54	\$27.50	50-54	\$27.50
55-59	\$44.65	55-59	\$47.00	55-59	\$47.00
60-64	\$68.97	60-64	\$72.60	60-64	\$72.60
65-69	\$71.55	65-69	\$75.31	65-69	\$75.31
70-74	\$65.88	70-74	\$69.35	70-74	\$69.35
75-79	\$61.44	75-79	\$64.67	75-79	\$64.67
80 & Over	\$43.12	80 & Over	\$45.38	80 & Over	\$45.38

Memo

Date: August 20, 2012
To: Ed Holland
From: Michael de Leon
Subject: Life Premium Buy-Down Strategy

Enclosed you will find a proposed premium buy-down strategy for the Basic Life Insurance Plan. This continues the general strategy that we set forth in prior years with recommended modifications to account for actual results. As requested, we have only included the Basic Life Insurance Plan in our analysis.

The proposed buy-down strategy is expected to spend all available funds by the end of 2014. This is much sooner than previous years due to the 32% increase in premiums expected for 2013 (from 0.140 per \$1,000 to 0.185 per \$1,000). Based on our current estimates, the net premium paid by the State will need to increase annually at 45.4% to steadily decrease the reserves over the next two years. Please note that due to the balance in Fund 0679, it is not expected that a transfer will be necessary for the remainder of 2012. Additionally, it is expected that there will be a balance of approximately \$85,000 remaining in Fund 0679 at the beginning of 2013.

Our assumptions for these projections are consistent with last year's calculation. Premiums for the remainder of 2012 were based on the average premiums paid during the first seven months of 2012 (\$68,700). All subsequent years recognize the 32% increase in premiums for 2013 and assume no further changes in premium rates or volume. The available fund balances were based on the amounts reported to us as of July 17, 2012. We assumed that the fund would earn 5% interest in future years.

We will continue to monitor the financial results of these plans in the future and modify the percentages accordingly.

Please contact me at 612-397-4681 if you have any questions.

To: Ed Holland
Subject: Life Premium Buy-Down Strategy
Date: August 20, 2012

Basic Life Insurance							
Plan Year	Annual Premium	Percent of Premium Buy-Down	Dollar Premium Buy-Down	Net Annual Premium	Increase in Net Premium	Reserve Fund Balance ⁽¹⁾	Percent of Beginning Balance
Beginning Balance ⁽²⁾						\$427,482	
2012 ⁽³⁾	\$343,500	44.2%	\$151,875	\$191,625		\$436,388	102.1%
2013 ⁽⁴⁾⁽⁵⁾	\$1,089,386	38.6%	\$420,641	\$668,744	45.4%	\$114,175	26.7%
2014	\$1,089,386	10.7%	\$116,960	\$972,426	45.4%	\$0	0.0%
2015	\$1,089,386	0.0%	\$0	\$1,089,386	12.0%	\$0	0.0%
2016	\$1,089,386	0.0%	\$0	\$1,089,386	0.0%	\$0	0.0%
2017	\$1,089,386	0.0%	\$0	\$1,089,386	0.0%	\$0	0.0%

(1) Assumes a 5% return on investments.
(2) Balance as of 08/01/12.
(3) Remainder of year (August through December). No transfer from Fund 0680 is expected during 2012 due to the balance that currently exists in Fund 0679.
(4) Premium rates assumed to increase 18.5% in 2013 and unchanged thereafter.
(5) Reserve fund balance excludes transfer of about \$85,000 of buy-down due to remaining balance expected in Fund 0679 at beginning of year.

Adjusted Life Premium Rates

***American Federation of State County and Municipal Employees (AFSCME),
Non-Contract, Judicial AFSCME, & Public Professional and Maintenance
employees (PPME)***

Basic Life Monthly Premium Rates			
	Plan Year 2012 (Reduced 44.2%)	Plan Year 2013 (Reduced 38.6%)	Percent Inc/(Dec)
Under 65 - \$20,000	\$1.79	\$2.52	40.8%
65 – 69 - \$13,200	\$1.18	\$1.66	40.7%
70 – 74 - \$8,300	\$0.74	\$1.04	40.5%
75 & Over - \$5,700	\$0.51	\$0.72	41.2%

***United Electrical/Iowa United Professionals (UE/IUP)
& State Police Officer's Council (SPOC)***

Basic Life Monthly Premium Rates			
	Plan Year 2012 (Reduced 44.2%)	Plan Year 2013 (Reduced 38.6%)	Percent Inc/(Dec)
Under 65 - \$20,000	\$1.79	\$2.52	40.8%
65 – 69 - \$13,200	\$1.18	\$1.66	40.7%
70 – 74 - \$8,300	\$0.74	\$1.04	40.5%
75 & Over - \$5,700	\$0.51	\$0.72	41.2%

Comparison of Life Annual Costs With & Without Surplus Reduction

Projected Total Premium Cost Comparison				
Type of Insurance	Projected Cost for Plan Year 2012	Projected Cost for Plan Year 2013	Amount of Cost Reduced due to Surplus Buy-Down	Projected Adjusted Cost
Basic Life	\$839,342	\$1,047,116	\$404,186	\$642,930
Supplemental Life	\$2,250,754	\$2,517,088	\$0.00	\$2,517,088
Total	\$3,090,096	\$3,564,204	\$404,186	\$3,160,018

Note: Table does not estimate movement to additional supplemental life insurance.

2013 Life & Long-Term Disability Recommendations

DAS-HRE is asking the Insurance Committee to do the following:

- A. Approve the Life and LTD premium rates for Executive Council Review.
- B. Recommend that the Executive Council approve the use of surplus to “buy down” Basic Term Life premium rates.



TAB # 2

THOMAS J. MILLER
ATTORNEY GENERAL

EXECUTIVE COUNCIL
2012 SEP -6 AM 9:43

JULIE F. POTTORFF
DEPUTY ATTORNEY GENERAL

Iowa Department of Justice

Address Reply To:
1305 E. Walnut Street
Des Moines, Iowa 50319
Telephone: 515/281-3349
Fax: 515/281-4209
Julie.Pottorff@iowa.gov

September 4, 2012

GeorgAnna Madsen
Executive Secretary
Executive Council
State Capitol
L-O-C-A-L

Re: Retention of Outside Counsel

Dear GeorgAnna:

Our office requests appointment of outside counsel pursuant to Iowa Code section 13.3(1) in *Kenneth Kincaid v. Iowa Department of Transportation, State of Iowa and the Second Injury Fund*, a workers' compensation case filed by a state employee. Because the resolution of this claim may turn on whether the State of Iowa or the Second Injury Fund must pay workers' compensation benefits, our office cannot represent both defendants.

In order to avoid a conflict of interest, the Special Litigation Division has contacted Ryan Clark of the Patterson Law Firm, L.L.P., 505 5th Avenue, Suite 729, Des Moines, Iowa, 50309-2390, to represent the Second Injury Fund. Mr. Clark has agreed to represent the Second Injury Fund at the rate of \$80.00 per. Our office will represent the State of Iowa.

Accordingly, we request Executive Council approval to retain Mr. Clark to represent the Second Injury Fund in this case.

Sincerely,

JULIE F. POTTORFF
Deputy Attorney General

cc: Julie Burger

Executive Council of Iowa

Capitol Building
Des Moines, Iowa 50319
Phone: 515 281-5368
FAX: 515 281-7562

TAB # 3

EXECUTIVE COUNCIL
2012 SEP -6 AM 9:41

REQUEST FOR MEMBERSHIP APPROVAL

DEPARTMENT REQUESTING MEMBERSHIP: Iowa Department of Agriculture & Land Stewardship

NAME OF ORGANIZATION: Upper Mississippi River Basin Association

NEW MEMBERSHIP ☐ **RENEWAL** ☒ **MEMBERSHIP PERIOD:** 7-1-2012 / 6-30-2013
(Beginning and ending dates)

MEMBERSHIP FEE OR DUES AMOUNT \$ 9,000
6,000.00

Funding Source: State General Fund ☒ **Other State Funds** ☐

Federal Funds ☐ **Other Funds** ☐

DO OTHER DEPARTMENTS BELONG TO THIS ORGANIZATION? ☒ **Yes** ☐ **No**

If yes, please list: Participating agencies include DNR, DOT, IDALS & DED, but Iowa just has one overall membership on the Association.

Please describe why your department should have an additional membership WILL THIS

MEMBERSHIP REQUIRE AND PAY FOR OUT-OF-STATE TRAVEL? ☐ **Yes** ☒ **No**

If yes, list the anticipated number of trips per year and their purpose:

DESCRIBE WHY THIS MEMBERSHIP IS IMPORTANT TO THE WORK OF YOUR DEPARTMENT:

The Transportation of Grain and Fertilizer on the Mississippi River is important to Iowa's Agriculture Economy.

DESCRIBE HOW MEMBERSHIP IN THIS ORGANIZATION WILL BENEFIT THE TAXPAYERS OF THE STATE OF IOWA. This organization actively works on Hypoxia in the Mississippi which Iowa is directly involved.

DESCRIBE THE FREQUENCY AND TYPE OF CONTACTS YOU EXPECT YOUR DEPARTMENT TO HAVE WITH THIS ORGANIZATION: The Organization keeps us in contact with our state neighbors on policy issues and concerns regarding the river.

Requested by: Jay Johnson

(Department Head Signature)

Date: 9-5-2012

Phone: 515-281-5681

E-mail: jay.johnson@iowaagriculture.gov

DOM: **Approval** ☐ **Disapproval** ☐

Signature _____ **Date** _____

RECEIVED

AUG 24 2012

IOWA DEPT. OF
MANAGEMENT

REQUEST FOR MEMBERSHIP APPROVAL

DEPARTMENT REQUESTING MEMBERSHIP: Human Services

NAME OF ORGANIZATION: Interstate Commission for Juveniles

NEW MEMBERSHIP _____ RENEWAL ☒ MEMBERSHIP PERIOD:
July 1, 2012 - June 30, 2013

(Beginning and ending dates)

MEMBERSHIP FEE OR DUES AMOUNT \$ 17,000.00

Funding Source: State General Fund ☒ Other State Funds ☐

Federal Funds ☐ Other Funds ☐

If Renewal, previous year amount. \$ 17,000.00

DO OTHER DEPARTMENTS BELONG TO THIS ORGANIZATION? ☐ Yes ☒ No

If yes, please list: _____

Please describe why your department should have an additional membership _____

WILL THIS MEMBERSHIP REQUIRE AND PAY FOR OUT-OF-STATE TRAVEL? ☒ Yes ☐ No

If yes, list the anticipated number of trips per year and their purpose: _____

One trip per year to the annual business meeting _____

DESCRIBE WHY THIS MEMBERSHIP IS IMPORTANT TO THE WORK OF YOUR DEPARTMENT.

The Interstate Compact for Juveniles assures safety and supervision for runaways and for juveniles adjudicated delinquent. It allows for other states to do a home evaluation and provide supervision for Iowa youth that move to another state and for youth from other states to receive the same services from Iowa.

DESCRIBE HOW MEMBERSHIP IN THIS ORGANIZATION WILL BENEFIT THE TAXPAYERS OF THE STATE OF IOWA.

The Interstate Compact provides families and youth in Iowa the same safety and supervision they receive in Iowa if they move out of state. It protects the citizens from youth offenders that are living here from out of state.

DESCRIBE THE FREQUENCY AND TYPE OF CONTACTS YOU EXPECT YOUR DEPARTMENT TO HAVE WITH THIS ORGANIZATION: DHS has daily contact with this organization that administers the Interstate Compact for Juveniles and houses the web-based database that is used nationally for runaways and delinquents moving across state lines

Requested by: C. M. Palmer Date: 7-18-12
(Department Head Signature)
Phone: _____

DOM: Approval ☒ Disapproval ☐

Signature [Signature] Date 8/31/12

EXECUTIVE COUNCIL
2012 SEP -14 AM 10:10

Executive Council of Iowa

TAB # 5

Capitol Building
Des Moines, Iowa 50319
Phone: 515 281-5368
FAX: 515 281-7562

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AUG 24 2012

IOWA DEPT. OF
MANAGEMENT**REQUEST FOR MEMBERSHIP APPROVAL****DEPARTMENT REQUESTING MEMBERSHIP:** DHS/MHDS**NAME OF ORGANIZATION:** NASDDDS (National Association of State Directors of DDS)**NEW MEMBERSHIP** ☐ **RENEWAL** ☒ **MEMBERSHIP PERIOD:** July 1, 2012- June 30, 2013
(Beginning and ending dates)**MEMBERSHIP FEE OR DUES AMOUNT** \$12,216.00**Funding Source:** State General Fund ☒ Other State Funds ☐**Federal Funds** ☐ **Other Funds** ☐**If Renewal, previous year amount.** \$ 9,621.00**DO OTHER DEPARTMENTS BELONG TO THIS ORGANIZATION?** ☐ Yes ☒ No**If yes, please list:** _____**Please describe why your department should have an additional membership** _____**WILL THIS MEMBERSHIP REQUIRE AND PAY FOR OUT-OF-STATE TRAVEL?** ☐ Yes ☒ No**If yes, list the anticipated number of trips per year and their purpose:** _____**DESCRIBE WHY THIS MEMBERSHIP IS IMPORTANT TO THE WORK OF YOUR DEPARTMENT.**

It allows the division to keep current on national trends, Federal legislative issues, and other actions. It is a very cost effective method to stay current at the national level, and provides a resource that is not available otherwise.

DESCRIBE HOW MEMBERSHIP IN THIS ORGANIZATION WILL BENEFIT THE TAXPAYERS OF THE STATE OF IOWA.

It will allow the State of Iowa to use as a resource on keeping current at the national level.

DESCRIBE THE FREQUENCY AND TYPE OF CONTACTS YOU EXPECT YOUR DEPARTMENT TO HAVE WITH THIS ORGANIZATION: None

Requested by: <u>C. M. Palmer</u> (Department Head Signature)	Date: <u>8-27-12</u>
Phone: 515.281.8580 / Rick Shults	Date: <u>8/20/12</u>
0001-401-5700-2810-301 / BA - Rick Fox	Date: <u>8/20/12</u>

DOM: Approval ☒ Disapproval ☐**Signature** Rick Fox **Date** 8/31/12

Executive Council of Iowa

TAB # 6

Capitol Building
Des Moines, Iowa 50319
Phone: 515 281-5368
FAX: 515 281-7562

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AUG 24 2012

IOWA DEPT OF
MANAGEMENT**REQUEST FOR MEMBERSHIP APPROVAL****DEPARTMENT REQUESTING MEMBERSHIP:** DHS- Iowa Medicaid Enterprise _____**NAME OF ORGANIZATION:** National Association of Medicaid Directors- NAMD _____**NEW MEMBERSHIP** _____ **RENEWAL** ☒ **MEMBERSHIP PERIOD:** *July 2012 - June 2013* _____
(Beginning and ending dates)**MEMBERSHIP FEE OR DUES AMOUNT** \$ \$7,350.00**Funding Source:** *State General Fund* ☒ *Other State Funds* ☐ _____**Federal Funds** ☒ **Other Funds** ☐ _____**If Renewal, previous year amount.** \$ 7,350.00**DO OTHER DEPARTMENTS BELONG TO THIS ORGANIZATION?** ☐ Yes ☒ No**If yes, please list:** _____**Please describe why your department should have an additional membership** _____**WILL THIS MEMBERSHIP REQUIRE AND PAY FOR OUT-OF-STATE TRAVEL?** ☒ Yes ☐ No**If yes, list the anticipated number of trips per year and their purpose:**

2 trips per year, Spring and Fall Conferences featuring interaction and cooperation among State Medicaid Directors, CMS, and human services programs.

DESCRIBE WHY THIS MEMBERSHIP IS IMPORTANT TO THE WORK OF YOUR DEPARTMENT.

Provides access to input on key national legislative, regulatory and policy initiatives. Further, the Department will have access to a knowledge base, expertise and continuity necessary to support health and human services programs. Especially important with current federal conversations about block granting Medicaid and federal health reform. Also, with Governor Brandstad's membership on the Health and human Services Committee with NGA, we feel this membership will be valuable.

DESCRIBE HOW MEMBERSHIP IN THIS ORGANIZATION WILL BENEFIT THE TAXPAYERS OF THE STATE OF IOWA.

We will have access to additional informational, technical assistance, and policy support to the leadership and staff.

DESCRIBE THE FREQUENCY AND TYPE OF CONTACTS YOU EXPECT YOUR DEPARTMENT TO HAVE WITH THIS ORGANIZATION:

Daily e-mail notifications of events/legislative policies that occur in the Federal government. Also, conference calls and web access for policy /rule changes etc.

Requested by: *C. M. A. M. M.*
(Department Head Signature)**Date:** *8-22-12***Phone:** _____**DOM:** ☒ **Approval** ☐ **Disapproval****Signature** *[Signature]* **Date** *8/31/12*

Membership Form 42400

July 2009

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AUG 24 2012

IOWA DEPT OF
MANAGEMENT

REQUEST FOR MEMBERSHIP APPROVAL

DEPARTMENT REQUESTING MEMBERSHIP: DHS/MHDS

NAME OF ORGANIZATION: National Association of State Mental Health Program Directors

NEW MEMBERSHIP ____ **RENEWAL** X **MEMBERSHIP PERIOD:** (7-1-12/6-30-13)
(Beginning and ending dates)

MEMBERSHIP FEE OR DUES AMOUNT \$ 10,840.00

Funding Source: State General Fund X Other State Funds ☐

Federal Funds ☐ Other Funds ☐

If Renewal, previous year amount. \$ 10,840.00

DO OTHER DEPARTMENTS BELONG TO THIS ORGANIZATION? ☐ Yes X No

If yes, please list: _____

Please describe why your department should have an additional membership _____

WILL THIS MEMBERSHIP REQUIRE AND PAY FOR OUT-OF-STATE TRAVEL? ☐ Yes X No

If yes, list the anticipated number of trips per year and their purpose: _____

DESCRIBE WHY THIS MEMBERSHIP IS IMPORTANT TO THE WORK OF YOUR DEPARTMENT.

It allows membership to the national association that advocates for the collective interest of state mental health authorities in the USA. NASMHPD represents views and concerns to Congress, federal agencies and other national organizations. NASMHPD plans and hosts annual membership meetings, and provides technical assistance and training to states to ensure their access to best practices, knowledge on evidenced-based practices and helps translate recommendations from federal, state and local levels.

DESCRIBE HOW MEMBERSHIP IN THIS ORGANIZATION WILL BENEFIT THE TAXPAYERS OF THE STATE OF IOWA.

It will allow the State of Iowa to use as a resource on keeping current at the National level.

DESCRIBE THE FREQUENCY AND TYPE OF CONTACTS YOU EXPECT YOUR DEPARTMENT TO HAVE WITH THIS ORGANIZATION: This organization will assist with data analysis, training and identification of best practices as requested by DHS.

Requested by: Charles M. Palmer **Date:** 8-23-12
(Department Head Signature) Charles M. Palmer
Phone: 281.8580 / Rick Shults 8-17-12

DOM: Approval X Disapproval ☐

Signature Rick Fox **Date** 8/31/12

Rick Fox
BA - 401-5700-2810-301